

CERTIFICATE OF MAILING

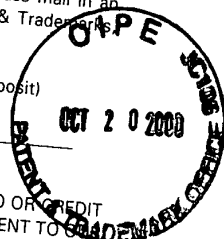
I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents & Trademarks, Washington, DC 20231, on

(Date of Deposit)

Date

Name

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO DEPOSIT ACCOUNT NO. 04-0100



EXPRESS MAIL CERTIFICATE

10/20/00 628223517US

I hereby certify that, on the date indicated above I deposited this paper or fee with the U.S. Postal Service & that it was addressed for delivery to the Commissioner of Patents & Trademarks, Washington D.C. 20231, by "Express Mail Post Office to Addressee" service.

Name (Print)

Signature

Docket No.: 0342/1D516US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Craig M. THOMPSON et al.

Serial No.: 09/601,965

Art Unit:

Filed:

8/9/00  
8/9/00 8/9/00

Examiner:

For:

A NOVEL FUNGAL PROTEIN CRITICAL FOR EXPRESSION OF FUNGAL PROTEINS

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

10/27/2000 AGIZAH 00000084 09601965

02 FC:154

130.00 DP

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration, petition and power of attorney
2. Check in the amount of \$ 170.00, (\$ 40 filing; \$ 40 recording; \$ 130 late)  
(See attached **Fee Computation Sheet**)
3. ☐ Formal drawings, sheets (Figs. )  
☐ Informal drawings, sheets (Figs. )

4. ☒ Assignment for recording to: Anadys Pharmaceuticals, Inc.
5. ☐ Verified statement claiming small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

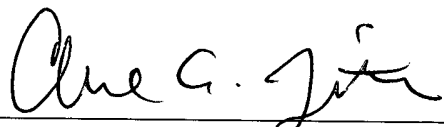
Country: US  
Number: 60/074,100  
Date: 02/09/98

The priority documents ☐ are enclosed  
☐ will follow.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

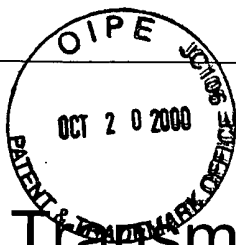
Dated: October 20, 2000

  
Anne E. Zitron, Ph.D.  
Reg. No. 41,391  
Agent for Applicant(s)

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$0.00
Total Claims	13 - 20	- 0 = 0	x \$18.00	\$0.00
Independent Claims	6 - 3	3 - 3 = 0	x \$80.00	\$0.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$130.00
[ ] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$0.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$170.00



# Transmittal Letter

10-23-00 525 PCT/PTO 20 OCT 2000 \$  
09/601965

Application Number:	09/647683
Filing Date:	September 28, 2000
First Named Inventor:	McHenry
Attorney Docket Number:	1201-009/ddh
Title	<i>Folding Pocket Knife With Lock</i>

Enclosed for filing in the above referenced application are the following:

- ☒ Petition to Make Special (37 C.F.R. § 1.102(d))
- ☒ Petition Fee of \$130.00 (check number 2994)
- ☒ Other: return receipt postcard

The Commissioner is hereby authorized to charge any additional fees that may be required in connection with prosecution of this application to Deposit Account No. 50-0241

Firm or Individual Name:	Douglas D. Hancock (Registration No. 35,889) <b>ipsolen llp</b>
Signature	
Date	October 20, 2000
Express Mail No.: EL304655642US	
Customer Number: 21,034	



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/601965	THOMPSON	C 0342/1D516-US 2

ANNE E ZITRON  
DARBY & DARBY  
805 THIRD AVENUE  
NEW YORK, NY 10022 7513



INTERNATIONAL APPLICATION NO.	
PCT/US99/02940	
I.A. FILING DATE	PRIORITY DATE
08 FEB 99	09 FEB 98
DATE MAILED: 05 SEP 2000	

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☐ a non-English language.  
☒ English.

☐ Translation of the international application into English.

☒ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 09 AUG 2000 and

☐ Information Disclosure Statement(s) filed and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Verified Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☒ Other: Docketed

DUE: October 5, 2000

Docketed on 9/13 by DP for

Docketed without file

Attorney AE 2

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☒ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Winston M Alvarado

Telephone: 703-305-6421